

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Michael Buschle *et al.*

Serial No.: 10/550,820

Filed: September 23, 2005

For: USE OF ALUM AND A TH1 IMMUNE
RESPONSE INDUCING ADJUVANT
FOR ENHANCING IMMUNE RESPONSE

Group Art Unit: 1645

Examiner: Unknown

Atty. Dkt. No.: SONN:077US

Confirmation No.: 8341

CERTIFICATE OF ELECTRONIC TRANSMISSION

I hereby certify that this correspondence is being electronically filed with the United States Patent and Trademark Office via EFS-Web on the date below.

April 17, 2007

Date

Mark B. Wilson

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R. §§ 1.97(g), (h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to

be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

The present Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is authorized to deduct the appropriate fees from Fulbright & Jaworski Deposit Account No.: 50-1212/SONN:077US.

This application may be related by inventorship and subject matter to co-pending U.S. application numbers:

10/505,929, filed March 10, 2005;

10/512,885, filed October 28, 2004;

10/512,790, filed October 27, 2004;

10/550,754, filed September 23, 2005;

10/564,429, filed April 24, 2006.

Applicants respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,



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Reg. No. 37,259
Attorney for Applicants

FULBRIGHT & JAWORSKI L.L.P.
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Date: April 17, 2007

Form PTO-1449 (modified)		Att. Docket No. SONN:077US	Serial No. 10/550,820
List of Patents and Publications for Applicant's		Applicant Michael Buschle <i>et al.</i>	
INFORMATION DISCLOSURE STATEMENT		Filing Date: September 23, 2005	Group: 1645
(Use several sheets if necessary)			
U.S. Patent Documents <i>See Page 1</i>	Foreign Patent Documents <i>See Page 1-3</i>	Other Art <i>See Page 3-10</i>	

U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A1	2003/0162738	08/28/03	Egyed <i>et al.</i>	514	44	12/06/02
	A2	5,663,153	09/02/97	Hutcherson <i>et al.</i>	514	44	06/06/95
	A3	5,683,864	11/04/97	Houghton <i>et al.</i>	435	5	07/07/92
	A4	5,723,335	03/03/98	Hutcherson <i>et al.</i>	435	375	09/11/96
	A5	6,037,135	03/14/00	Kubo <i>et al.</i>	435	7.24	11/29/93
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	A7	6,413,517	07/02/02	Sette <i>et al.</i>	424	185.1	01/21/98

Foreign Patent Documents

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	B2	A 805/2001	05/21/00	Austria	English
	B3	EP 0 468 520	01/29/92	Europe	English
	B4	WO 00/11186	03/02/00	WIPO	English
	B5	WO 00/23105	04/27/00	WIPO	English
	B6	WO 00/31542	06/02/00	WIPO	English
	B7	WO 00/44775	08/03/00	WIPO	English
	B8	WO 01/17551	03/15/01	WIPO	English
	B9	WO 01/21189	03/29/01	WIPO	English
	B10	WO 01/24822	04/12/01	WIPO	English
	B11	WO 01/54719	08/02/01	WIPO	English
	B12	WO 01/72782	10/04/01	WIPO	English
	B13	WO 01/78767	10/25/01	WIPO	English

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	B15	WO 01/93905	12/13/01	WIPO	English
	B16	WO 02/053185	07/11/02	WIPO	English
	B17	WO 02/095027	11/28/02	WIPO	English
	B18	WO 02/13857	02/21/02	WIPO	English
	B19	WO 02/32451	04/25/02	WIPO	English
	B20	WO 02/33127	04/25/02	WIPO	English
	B21	WO 03/047602	06/12/03	WIPO	English
	B22	WO 03/073097	09/04/03	WIPO	English
	B23	WO 2004/014936	02/19/04	WIPO	French (English Abstract)
	B24	WO 2004/024182	03/25/04	WIPO	English
	B25	WO 92/03458	03/05/92	WIPO	English
	B26	WO 93/00365	01/07/93	WIPO	English
	B27	WO 94/20127	09/15/94	WIPO	English
	B28	WO 94/25601	11/10/94	WIPO	English
	B29	WO 95/12766	05/11/95	WIPO	English
	B30	WO 95/22317	08/24/95	WIPO	English
	B31	WO 95/25122	09/21/95	WIPO	English
	B32	WO 95/27733	10/19/95	WIPO	English
	B33	WO 95/27901	10/19/95	WIPO	English
	B34	WO 96/02555	02/01/96	WIPO	English
	B35	WO 97/30721	08/28/97	WIPO	German (English Abstract)
	B36	WO 98/15287	04/16/98	WIPO	English

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	B39	WO 98/37919	09/03/98	WIPO	English
	B40	WO 98/40100	09/17/98	WIPO	English
	B41	WO 98/52581	11/26/98	WIPO	English
	B42	WO 98/52962	11/26/98	WIPO	English
	B43	WO 99/15259	04/01/99	WIPO	English
	B44	WO 99/33488	06/08/99	WIPO	English
	B45	WO 99/38528	08/05/99	WIPO	German (English Abstract)
	B46	WO 99/51259	10/14/99	WIPO	English
	B47	WO 99/56755	11/11/99	WIPO	English
	B48	WO 99/63941	12/16/99	WIPO	English

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	C3	Battegay <i>et al.</i> , "Patients with chronic hepatitis C have circulating cytotoxic T cells which recognize hepatitis C virus-encoded peptides binding to HLA-A2.1 molecules," <i>J. Virol.</i> , 69(4):2462-2470, 1995.
	C4	Bellentani <i>et al.</i> , "Epidemiology of hepatitis C virus infection in Italy: the slowly unraveling mystery," <i>Microbes Infect.</i> , 2(14):1757-63, 2000.
	C5	Bitmansour <i>et al.</i> , "Clonotypic structure of the human CD4+ memory T cell response to cytomegalovirus," <i>J Immunol</i> , 167:1151-1163, 2001.

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	C6	Blake <i>et al.</i> , "Use of combinatorial peptide libraries to construct functional mimics of tumor epitopes recognized by MHC class I-restricted cytolytic T lymphocytes," <i>J. Exp. Med.</i> , 184:121-130, 1996.
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	C10	Chen <i>et al.</i> , "A novel influenza A virus mitochondrial protein that induces cell death," <i>Nature Medicine</i> , 7:1306-1312, 2001.
	C11	Chen <i>et al.</i> , "Efficient class II major histocompatibility complex presentation of endogenously synthesized hepatitis C virus core protein by Epstein-Bar virus-transformed B-lymphoblastoid cell lines to CD4 ⁺ T cells," <i>Journal of Virology</i> , 72(10):8301-8308, 1998.
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	C14	Di Bisceglie <i>et al.</i> , "New therapeutic strategies for hepatitis C," <i>Hepatology</i> , 35:224-231, 2002.
	C15	Diepolder <i>et al.</i> , "Immunodominant CD4 ⁺ T-cell epitope within nonstructural protein 3 in acute hepatitis C virus infection," <i>J. Virol.</i> , 71(8):6011-6019, 1997.
	C16	Drew and Lalezari, "Cytomegalovirus: disease syndromes and treatment," <i>Curr Clin Top Infect Dis</i> , 19:16-29, 1999.
	C17	Duenas-Carrera <i>et al.</i> , "Enhancement of the immune response generated against hepatitis C virus envelope proteins after DNA vaccination with polyprotein-encoding plasmids," <i>Biotechnol. Appl. Biochem.</i> , 35:205-212, 2002.
	C18	Elliot <i>et al.</i> "Recognition of out-of-frame major histocompatibility complex class I-restricted epitopes in vivo," <i>European Journal of Immunology</i> , 26:1175-1179, 1996.

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	C22	Gruener <i>et al.</i> , "Sustained dysfunction of antiviral CD8+ T lymphocytes after infection with hepatitis C virus," <i>J. Virol.</i> , 75:5550-5558, 2001.
	C23	Hammer <i>et al.</i> , "Promiscuous and allele-specific anchors in HLA-DR-binding peptides," <i>Cell</i> , 74:197-203, 1993.
	C24	Heemels and Ploegh, "Generation, translocation and presentation of mhc class I-restricted peptides," <i>Annu Rev Biochem</i> , 64:463-491, 1995.
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	C28	HLA-prevalence studies, In: HLA 1998, (Gjertson and Terasaki, eds.) American Society for Histocompatibility and Immunogenetics, Lenexa, Kansas, pp. 103-263, 1998.
	C29	Hoffmann <i>et al.</i> , "Mapping of Immunodominant CD4+ T Lymphocyte Epitopes of Hepatitis C Virus Antigens and Their Relevance During the Course of Chronic Infection," <i>Hepatology</i> , 21(3):632-638, 1995.
	C30	Hunziker <i>et al.</i> , "In vitro studies of core peptide-bearing immunopotentiating reconstituted influenza viroosomes as a non-live prototype vaccine against hepatitis C virus," <i>International Immunology</i> , 14(6):615-626, 2002.

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	C31	Ibe <i>et al.</i> , "Identification and characterization of a cytotoxic T cell epitope of hepatitis C virus presented by HLA-B*3501 in acute hepatitis," <i>J. Gen. Virol.</i> , 79:1735-1744, 1998.
	C32	Inchauspe and Feinstone, "Development of a hepatitis C virus vaccine," <i>Clinics in Liver Disease</i> , 7:243-259, 2003.
	C33	Keilholz <i>et al.</i> , "Immunologic monitoring of cancer vaccine therapy: results of a workshop sponsored by the Society for Biological Therapy," <i>J Immunother.</i> , 25(2):97-138, 2002.
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	C41	Kwok <i>et al.</i> , "Rapid epitope identification from complex class-II-restricted T-cell antigens," <i>Trends in Immunology</i> , 22(11):583-588, 2001.
	C42	Lamonaca <i>et al.</i> , "Conserved Hepatitis C Virus Sequences Are Highly Immunogenic for CD4+ T Cells: Implications for Vaccine Development," <i>Hepatology</i> , 30(4):1088-1098, 1999.
	C43	Lauer <i>et al.</i> , "Comprehensive analysis of CD8+-T-cell responses against hepatitis C virus reveals multiple unpredicted specificities," <i>Journal of Virology</i> , 76(12):6104-6113, 2002.
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	C46	Liang <i>et al.</i> , "Pathogenesis, Natural History, Treatment, and Prevention of Hepatitis C," <i>Ann Intern Med.</i> , 132(4):296-305, 2000.
	C47	Maecker <i>et al.</i> , "Use of overlapping peptide mixtures as antigens for cytokine flow cytometry," <i>J Immunol Methods</i> , 255:27-40, 2001.
	C48	Malarkannan <i>et al.</i> , "Presentation of out-of-frame peptide/MHC class I complexes by a novel translation initiation mechanism," <i>Immunity</i> , 10:681-690, 1999.
	C49	Maynard <i>et al.</i> , "An alternative translation reading frame encodes an immunodominant retroviral CTL determinant expressed by an immunodeficiency-causing retrovirus," <i>J. of Immunology</i> , 160:39-50, 1998.
	C50	Maynard <i>et al.</i> , "Non-traditionally derived CTL epitopes: exceptions that prove the rules?" <i>Immunology Today</i> , 19:551-556, 1998.
	C51	McCluskie <i>et al.</i> , "Parenteral and mucosal prime-boost immunization strategies in mice with hepatitis B surface antigen and CpG DNA," <i>Fems Immunol and Medical Microbiol</i> , 32:179-185, 2002.
	C52	Morgan <i>et al.</i> , "The influence of exogenous peptide on beta2-microglobulin exchange in the HLA complex: analysis in real time," <i>Immunogenetics</i> , 48:98-107, 1998.
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	C55	Parker <i>et al.</i> , "Scheme for ranking potential HLA-A2 binding peptides based on independent binding of individual peptide side-chains," <i>J. Immunol.</i> , 152:163, 1994.
	C56	Plotkin, "Vaccination against cytomegalovirus, the changeling demon," <i>Pediatr Infect Dis J</i> , 18:313-325, 1999.

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	C58	Reddehase, "The immunogenicity of human and murine cytomegaloviruses," <i>Curr Opin Immunol</i> , 12:390-396, 2000.
	C59	Rehermann <i>et al.</i> , "Differential cytotoxic T-lymphocyte responsiveness to the hepatitis B and C viruses in chronically infected patients," <i>J. Virol.</i> , 70(10):7092-7102, 1996.
	C60	Rollier <i>et al.</i> , "Control of heterologous hepatitis C virus infection in chimpanzees is associated with the quality of vaccine-induced peripheral T-helper immune response," <i>J. Virol.</i> , 78(1):187-196, 2004.
	C61	Sarobe <i>et al.</i> , "Enhanced in vitro potency and in vivo immunogenicity of a CTL epitope from hepatitis C virus core protein following amino acid replacement at secondary HLA-A2.1 binding positions," <i>J. Clin. Invest.</i> , 102(6):1239-1248, 1998.
	C62	Shastri <i>et al.</i> , "Major histocompatibility class I molecules can present cryptic translation products to T-cells," <i>J. Biol. Chem.</i> 270:1088-1091, 1995.
	C63	Shirai <i>et al.</i> , "An epitope in hepatitis C virus core region recognized by cytotoxic T cells in mice and humans," <i>J. Virol.</i> , 68(5):3334-3342, 1994.
	C64	Smith <i>et al.</i> , "Peptide sequences binding to MHC class II proteins," <i>Molecular Immunology</i> , 31:1431-1437, 1994.
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Form PTO-1449 (modified)		Affy. Docket No. SONN:077US	Serial No. 10/550,820
List of Patents and Publications for Applicant's		Applicant Michael Buschle <i>et al.</i>	
INFORMATION DISCLOSURE STATEMENT		Filing Date: September 23, 2005	Group: 1645
(Use several sheets if necessary)			
U.S. Patent Documents <i>See Page 1</i>	Foreign Patent Documents <i>See Page 1-3</i>	Other Art <i>See Page 3-10</i>	

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C69	Thursz <i>et al.</i> , "Influence of MHC class II genotype on outcome of infection with hepatitis C virus. The HENCORE group. Hepatitis C European Network for Cooperative Research," <i>Lancet</i> , 354(9196):2119-24, 1999.
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Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
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